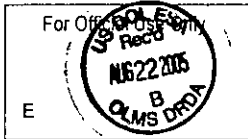


# FORM LM-30

## LABOR ORGANIZATION OFFICER AND EMPLOYEE REPORT

Form approved  
Office of Management  
and Budget  
No. 1215-0188  
Expires 11-30-2006

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440.



READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

1. File Number U - <u>12633</u>	2. Fiscal Year Covered From: <u>1</u> / <u>1</u> / <u>2004</u> Through: <u>12</u> / <u>31</u> / 2004
3. Name and address of person filing. Name <u>SUSAN</u> <u>D</u> <u>CARLSON</u> P.O. Box, Bldg., Room No., if any Street <u>1881 WILLOW RD.</u> City <u>ARROYO GRANDE</u> State <u>California</u> ZIP Code + 4 <u>93420-5823</u>	4. Name, file number, and address of labor organization. Name <u>TEAMSTERS UNION LOCAL 381</u> Labor Organization File Number <u>033-659</u> P.O. Box, Building and Room Number, if any Street <u>115 W. BUNNY AVE.</u> City <u>SANTA MARIA</u> State <u>California</u> ZIP Code + 4 <u>93458-2805</u>
5. Position in labor organization. <u>OFFICE MANAGER</u>	

Enter appropriate data below if, during the past fiscal year, you or your spouse or minor child directly or indirectly had any of the following interests (except as specified in the exclusions set forth in the instructions):

A. Held an interest in, engaged in transactions (including loans) with, or derived income or other economic benefit of monetary value from an employer whose employees your organization represents or is actively seeking to represent.	
6. Name and address of Employer (including trade name, if any). Name <u>SOUTHWEST ADMINISTRATORS</u> Trade Name, if any: P.O. Box, Bldg., Room No., if any <u>P. O. BOX 1121</u> Street <u>1000 S FREMONT AVE, STE 9101W</u> City <u>ALHAMBRA</u> State <u>California</u> ZIP Code + 4	7.a. Nature of Interest, Transaction, or Income. <u>ADMINISTERS INSURANCE &amp; PENSION PLANS. REP COMES TO LOCAL 381 TWICE MONTHLY TO ASSIST MEMBERS. LUNCH JAN. 5, FEB. 2, MAR. 1, MAR. 15, APR. 5, MAY 3, MAY 17, JUN. 7, JUN. 21, JUL. 19, AUG. 2, SEP. 20, OCT. 4, OCT. 18, NOV. 1, NOV. 15, DEC. 6, DEC. 20.</u> 7.b. Amount. <u>\$180</u>

### Signature

15. Signature and verification. The undersigned declares, under penalty of Perjury and other applicable penalties of the law, that all of the information submitted in this report (including the information contained in any accompanying documents), has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the section on penalties in the instructions.)

Signed Susan D Carlson

On 8/10/05  
Date

(805) 922-7875  
Telephone Number

Name of Person Filing <b>SUSAN CARLSON</b>		File Number U-
<b>B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.</b>		
<b>8. Name and address of Business (including trade name, if any).</b>  Name <b>UNION PLAZA CORPORATION</b>  Trade Name, if any:  P.O. Box, Bldg., Room No., if any  Street <b>115 W. BUNNY AVE.</b>  City <b>SANTA MARIA</b>  State <b>California</b> ZIP Code + 4 <b>93458-2805</b>		<b>9. Business deals with:</b>  <input checked="" type="checkbox"/> a. Labor Organization  <input type="checkbox"/> b. Trust  <input type="checkbox"/> c. Employer
<b>10. If 9.b. or 9.c. is checked give trust or employer's name.</b>  Name  Trade Name, if any:  P.O. Box, Bldg., Room No., if any  Street  City  State  ZIP Code + 4		<b>11.a. Nature of such dealing.</b>  <b>UNION PLAZA CORPORATION OPERATED BY TEAMSTERS LOCAL 381 - SEE ATTACHMENT.</b>  <b>11.b. Approximate dollar value of such dealing.</b> \$20  <b>12.a. Nature of interest held or income received.</b>  <b>MEAL WITH TEAMSTERS 381 STAFF - JANUARY</b>  <b>12.b. Amount.</b> \$20

Name of Person Filing SUSAN CARLSON

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name UNION PLAZA CORPORATION

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 115 W. BUNNY AVE.

City SANTA MARIA

State California ZIP Code + 4 93458-2805

9. Business deals with:

☒ a. Labor Organization

☐ b. Trust

☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

UNION PLAZA CORPORATION OPERATED BY TEAMSTERS LOCAL 381 - SEE ATTACHMENT.

11.b. Approximate dollar value of such dealing.

\$13

12.a. Nature of interest held or income received.

MEAL WITH UNION PLAZA BOOKKEEPER & UNION PLAZA STAFF - FEBRUARY.

12.b. Amount.

\$13

Name of Person Filing SUSAN CARLSON

File Number U-

## Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

## 8. Name and address of Business (including trade name, if any).

Name UNION PLAZA CORPORATION

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 115 W. BUNNY AVE.

City SANTA MARIA

State California

ZIP Code + 4 93458-2805

## 9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

## 11.a. Nature of such dealing.

UNION PLAZA CORPORATION OPERATED BY TEAMSTERS LOCAL 381 - SEE ATTACHMENT.

## 11.b. Approximate dollar value of such dealing.

\$14

## 12.a. Nature of interest held or income received.

MEETING WITH UNION PLAZA STAFF, LOCAL 381 STAFF, SOUTHWEST ADMINISTRATORS REPRESENTATIVE - LUNCH - APRIL.

## 12.b. Amount.

\$14

Name of Person Filing SUSAN CARLSON

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name UNION PLAZA CORPORATION

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 115 W. BUNNY AVE.

City SANTA MARIA

State California ZIP Code + 4 93458-2805

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

9. Business deals with:

☒ a. Labor Organization

☐ b. Trust

☐ c. Employer

11.a. Nature of such dealing.

UNION PLAZA CORPORATION OPERATED BY TEAMSTERS LOCAL 381 - SEE ATTACHMENT.

11.b. Approximate dollar value of such dealing.

\$12.

12.a. Nature of interest held or income received.

MEAL WITH TEAMSTERS 381 STAFF - MAY.

12.b. Amount.

Name of Person Filing SUSAN CARLSON	File Number U-
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**Part B Continuation Page**

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

<p>8. Name and address of Business (including trade name, if any).</p> <p>Name UNION PLAZA CORPORATION</p> <p>Trade Name, if any:</p> <p>P.O. Box, Bldg., Room No., if any</p> <p>Street 115 W. BUNNY AVE.</p> <p>City SANTA MARIA</p> <p>State California ZIP Code + 4 93458-2805</p>	<p>9. Business deals with:</p> <p><input checked="" type="checkbox"/> a. Labor Organization</p> <p><input type="checkbox"/> b. Trust</p> <p><input type="checkbox"/> c. Employer</p>
<p>10. If 9.b. or 9.c. is checked give trust or employer's name.</p> <p>Name</p> <p>Trade Name, if any:</p> <p>P.O. Box, Bldg., Room No., if any</p> <p>Street</p> <p>City</p> <p>State ZIP Code + 4</p>	<p>11.a. Nature of such dealing.</p> <p>UNION PLAZA CORPORATION OPERATED BY TEAMSTERS LOCAL 381 - SEE ATTACHMENT.</p> <p>11.b. Approximate dollar value of such dealing. \$20</p> <p>12.a. Nature of interest held or income received.</p> <p>MTG WITH TEAMSTERS 381 STAFF - SEPTEMBER</p> <p>12.b. Amount. \$20</p>

Name of Person Filing SUSAN CARLSON

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name UNION PLAZA CORPORATION

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 115 W. BUNNY AVE.

City SANTA MARIA

State California ZIP Code + 4 93458-2805

9. Business deals with:

☒ a. Labor Organization

☐ b. Trust

☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

11.a. Nature of such dealing.

UNION PLAZA CORPORATION OPERATED BY TEAMSTERS LOCAL 381 - SEE ATTACHMENT.

11.b. Approximate dollar value of such dealing.

\$13

12.a. Nature of interest held or income received.

MEAL WITH TEAMSTERS 381 STAFF - SEPTEMBER.

12.b. Amount.

\$13

Name of Person Filing SUSAN CARLSON

File Number U-

## Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

## 8. Name and address of Business (including trade name, if any).

Name UNION PLAZA CORPORATION

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 115 W. BUNNY AVE.

City SANTA MARIA

State California ZIP Code + 4 93458-2805

## 9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

## 11.a. Nature of such dealing.

UNION PLAZA CORPORATION OPERATED BY TEAMSTERS LOCAL  
381 - SEE ATTACHMENT.

## 11.b. Approximate dollar value of such dealing.

\$21

## 12.a. Nature of interest held or income received.

MEAL WITH UNION PLAZA STAFF & TEAMSTERS 381 STAFF -  
NOVEMBER.

## 12.b. Amount.

\$21



Name of Person Filing SUSAN CARLSON

File Number U-

## Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling, or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

## 8. Name and address of Business (including trade name, if any).

Name UNION PLAZA CORPORATION

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 115 W. BUNNY AVE.

City SANTA MARIA

State California ZIP Code + 4 93458-2805

## 9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

## 11.a. Nature of such dealing.

UNION PLAZA CORPORATION OPERATED BY TEAMSTERS LOCAL  
381 - SEE ATTACHMENT.

## 11.b. Approximate dollar value of such dealing.

\$10

## 12.a. Nature of interest held or income received.

MEAL WITH UNION PLAZA BOARD MEMBERS - NOVEMBER.

## 12.b. Amount.

\$10

Name of Person Filing SUSAN CARLSON

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name UNION PLAZA CORPORATION

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 115 W. BUNNY AVE.

City SANTA MARIA

State California

ZIP Code + 4 93458-2805

9. Business deals with:

☒ a. Labor Organization

☐ b. Trust

☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

11.a. Nature of such dealing.

UNION PLAZA CORPORATION OPERATED BY TEAMSTERS LOCAL 381 - SEE ATTACHMENT.

11.b. Approximate dollar value of such dealing.

\$48

12.a. Nature of interest held or income received.

MEAL - UNION PLAZA BOARD MTG - DECEMBER.

12.b. Amount.

\$48

Name of Person Filing SUSAN CARLSON

File Number U-

## Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

## 8. Name and address of Business (including trade name, if any).

Name UNION PLAZA CORPORATION

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 115 W. BUNNY AVE.

City SANTA MARIA

State California ZIP Code + 4 93458-2805

## 9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

## 11.a. Nature of such dealing.

UNION PLAZA CORPORATION OPERATED BY TEAMSTERS LOCAL  
381 - SEE ATTACHMENT.

## 11.b. Approximate dollar value of such dealing.

\$48

## 12.a. Nature of interest held or income received.

SPOUSE MEAL - UNION PLAZA BOARD MTG - DECEMBER

## 12.b. Amount.

\$48

## Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

## 8. Name and address of Business (including trade name, if any).

Name UNION PLAZA CORPORATION

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 115 W. BUNNY AVE.

City SANTA MARIA

State California ZIP Code + 4 93458-2805

## 9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

## 11.a. Nature of such dealing.

UNION PLAZA CORPORATION OPERATED BY TEAMSTERS LOCAL  
381 - SEE ATTACHMENT.

## 11.b. Approximate dollar value of such dealing.

\$6

## 12.a. Nature of interest held or income received.

MEAL WITH TEAMSTERS 381 STAFF - DECEMBER.

## 12.b. Amount.

\$6

Name of Person Filing SUSAN CARLSON

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name UNION PLAZA CORPORATION

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 115 W. BUNNY AVE.

City SANTA MARIA

State California

ZIP Code + 4 93458-2805

9. Business deals with:

☒ a. Labor Organization

☐ b. Trust

☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

11.a. Nature of such dealing.

UNION PLAZA CORPORATION OPERATED BY TEAMSTERS LOCAL 381 - SEE ATTACHMENT.

11.b. Approximate dollar value of such dealing.

\$200

12.a. Nature of interest held or income received.

YEAR-END UNION PLAZA BONUS FOR BOARD MEMBERS.

12.b. Amount.

\$200

Name of Person Filing SUSAN CARLSON

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name UNION PLAZA CORPORATION

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 115 W. BUNNY AVE.

City SANTA MARIA

State California ZIP Code + 4 93458-2805

9. Business deals with:

☒ a. Labor Organization

☐ b. Trust

☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

UNION PLAZA CORPORATION OPERATED BY TEAMSTERS LOCAL 381 - SEE ATTACHMENT.

11.b. Approximate dollar value of such dealing.

\$100

12.a. Nature of interest held or income received.

JOINT COUNCIL 42 FUND RAISING DINNER - JUNE.

12.b. Amount.

\$100

Name of Person Filing SUSAN CARLSON

File Number U-

## Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

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Name UNION PLAZA CORPORATION

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

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City SANTA MARIA

State California

ZIP Code + 4 93458-2805

## 9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

## 11.a. Nature of such dealing.

UNION PLAZA CORPORATION OPERATED BY TEAMSTERS LOCAL  
381 - SEE ATTACHMENT.

## 11.b. Approximate dollar value of such dealing.

\$100

## 12.a. Nature of interest held or income received.

SPOUSE ATTENDED JOINT COUNCIL 42 FUND RAISING  
DINNER - JUNE.

## 12.b. Amount.

\$100

## Attachment to 2004 LM-30 Report

In the 1970s, the leadership of Teamsters Union Local 381 (the local) felt that there was a need for a low-income residence in the Santa Maria area. They approached the federal government and negotiated a financial arrangement. It was part Urban Renewal and part Housing and Urban Development (HUD). In 1975, a HUD loan was signed and the project began. The local became the overseer of the Union Plaza apartment complex through the Union Plaza Corporation Board of Directors. The apartment complex is self-managed by the Union Plaza Corporation.

The Union Plaza Corporation bylaws require that the Board of Directors be made up of members of the local (three union members on the Board). The Corporation bylaws allow the principal officer of the local to be the principal officer of the Union Plaza Corporation. The local is financially responsible for the three-million-dollar loan that financed the project in 1975. The Union Plaza apartment complex pays the mortgage through a HUD loan, but, should they ever default, the local becomes responsible. The bylaws require that the money of the local be separate from the money of the Union Plaza Corporation. Both of these organizations have separate operations and bank accounts from the Union Plaza Apartments. Each of these organizations is a "not-for-profit" organization. The Union Plaza Corporation manages the apartment complex for the local. The employees of the apartment complex are covered under a collective bargaining agreement between the local and the Union Plaza Corporation.



# DISCLAIMER

The transactions, dealings, and interests that are reported in the attached Form LM-30 represent my good faith effort to reconstruct any reportable occurrences for calendar year 2004. Some items may have been unintentionally omitted. If, in the future, it comes to my attention that there is a matter which should have been reported for calendar year 2004, I will file an amended Form LM-30.

Susan D. Carlson

Signature

8-15-05

Date